

Application No.: 09/454,278 FORM PTO-1449 (Modified) Attorney Docket No.: 18563-001110 LIST OF PATENTS AND PUBLICATIONS FOR Applicant: LOC X. PHAN et al. APPLICANT'S INFORMATION DISCLOSURE Filing Date: December 3, 1999 Group: 3732 STATEMENT (Use several sheets if necessary) U.S. PATENT DOCUMENTS Page 1 Reference Designation Filing Date Examiner Initial Document No. Date Class Sub-class (If Appropriate) 6 3,407,500 10/1968 Kesling Α 04/1976 Bergersen В 3,950,851 433 6 07/1985 Dellinger C 4,526,540 6 05/1986 Andrews 433 D 4,591,341 & TRAD 6 07/1988 Abbatte et al. 433 Ε 4,755,139 6 433 12/1988 Martz F 4,793,803 433 4,798,534 01/1989 Breads G 433 6 4,856,991 08/1989 Breads et al. Η I 4,983,334 01/1991 Adell 433 5,035,613 07/1991 Breads et al. 433 J 10/1991 Abbatte et al. 433 6 K 5,055,039 6 5,059,118 10/1991 Breads et al. 433 L 5,145,364 09/1992 Martz et al. b M 43B 433 6 02/1993 Breads et al. N 5,186,623 6 0 5,645,421 07/01997 Slootsky 433 03/1999 Bergersen 433 2 P 5,882,192 FOREIGN PATENT DOCUMENTS Country Class Sub-class Translation Document No. Date (Yes/No) PCT WO 98/58596 12/30/98 CW Q OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) CW s Kesling et al., "The philosophy of the tooth positioning appliance" American Journal of Orthodontics and Oral Surgery (1945) 31:297-304. Kesling et al., "Coordinating the predetermined pattern and tooth positioner with conventional treatment" American CLO T Journal of Orthodontics and Oral Surgery (1945) 32:285-293. Warunek et al., "Clinical use of silicone elastomer appliances" Journal of Clinical Orthodontics (1989) 23:694-700. CW U CLD V Product Brochure, Raintree Essix™, Inc. New Orleans, Louisiana 70125, 7 pages total from http://www.essix.com/magazine/default.html. Cero W Product Brochure, Tru-Tain Orthodontic & Dental Supplies, Rochester, Minnesota 55902, 16 pages total. Kleemann et al., "The speed positioner" Journal of Clinical Orthodontics (1996) 30:673-680. CW X Cureton, "Correcting malaligned mandibular incisors with removable retainers" Journal of Clinical Orthodontics Ceo Y (1996) 30:390-395. Chippone, "Constructing the gnathologic setup and positioner" Journal of Clinical Orthodontics (1980) 14:121-133. CO Z Shilliday, "Minimizing finishing problems with the mini-positioner" American Journal of Orthodontics (1971) COD AA 59:596-599.

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<u>()()</u> AB	Wells, "Application of the positioner appliance in orthodontic treatment" American Journal of Orthodontics (1970) 58:351-366.		
(a) AC	Cottingham, "Gnathologic clear plastic positioner" American Journal of Orthodontics (1969) 55:23-31.		
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.